

**REPLY UNDER 37 CFR 1.116
EXPEDITED PROCEDURE
EXAMINING GROUP ART UNIT 3695**

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicants: WEBER et al.

Application No.: 10/753,069

Filing Date: January 8, 2004

For: SYSTEMS AND METHODS FOR
TRADING ACTIVELY MANAGED
FUNDS

) Confirmation No.: 5428

) Group Art Unit: 3695

) Examiner: Gregory A. Pollock

) **RESPONSE TRANSMITTAL**

) Docket No.: N09.005

) **PTO Customer Number 15462**

) NYSE c/o

) Buckley, Maschoff & Talwalkar LLC

) 50 Locust Avenue

) New Canaan, CT 06840

Mail Stop AF (via EFS)
Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Sir:

Transmitted herewith for filing are:

1. ☐ Fee as calculated and transmitted as described below
2. ☒ **After Final** Amendment/Response to Final Office Action mailed September 13, 2011

FEE CALCULATION

For	Current	Prev. Paid	No. Extra	Rate	Fee
Total Claims	5	- 75	0	\$ 60.00	\$ 0.00
Indep. Claims	5	- 23	0	\$ 250.00	\$ 0.00
Multiple Dependent Claims (add \$450.00 if applicable)					\$ 0.00
No Petition for Extension of Time is Required					\$ 0.00
OTHER FEE (specify purpose):					\$ 0.00
TOTAL FEE					\$ 0.00

The Commissioner is hereby authorized to charge and credit Deposit Account No. 50-1852 as described below. A duplicate copy of this sheet is enclosed.

- ☒ Credit any overpayment.
- ☒ Charge any additional fees required under 37 CFR 1.16 and 1.17.

Respectfully submitted,

November 15, 2011
Date

/Kurt M. Maschoff/
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